

Regular article

Chen Chen, Xun Hou and Jinhai Si*

Carbohydrate-protein interactions characterized by dual polarization hybrid plasmonic waveguide

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Abstract: Optical biosensors present good performance for the affinity analysis of a molecular binding event. However, most of those excited with single optical mode are “blind” to the conformational change of bound molecules. We theoretically demonstrate a dual polarization hybrid plasmonic (DPHP) waveguide with nano-slots. By addressing the structure with dual polarizations, the optogeometrical properties (density and thickness) of protein layers have been determined without ambiguity. Differences in the hybrid mode dispersion between the transverse electric (TE) and transverse magnetic (TM) modes allow to separately determine the thickness and the density at all stages during the molecular interaction. In addition, nano-slots can be equated with an effective optical capacitance resulting in a strong field confinement; thereby, subtle changes in the ambient medium can be sensed. A proof of concept is conducted by analyzing the conformational change of HepV, a recombinant fragment of collagen V, during complicated molecular interaction. Integrated with adlayer thickness and density, we can conclude that a thick sparse layer formed after heparin capture and a thin dense layer arising from HepV bound. Accordingly, HepV undergoing conformational change has been traced and verified as molecular interaction occurs.

Keywords: biosensing; conformational change; dual polarization; hybrid plasmonic; waveguide.

1 Introduction

Biomolecules exert their biological effects via binding to other molecules. A significant number of interactions including antibody-antigen [1], receptor-hormone [2], and enzyme-substrate [3] play a crucial role in physiological activities. Thus, precise detection of biomolecules, especially proteins, is strongly required in the medical and bio-process [4, 5]. Nuclear magnetic resonance (NMR) with an isotope-labeled biological sample is commonly used for determining protein conformation, yet high-quality protein consumption [6]. Without sacrificing the resources for more extensive biophysical characterization, label-free biochemical technologies, particularly evanescent wave biosensor techniques [7–12], such as surface plasmon resonance (SPR) [13–15], provide considerable insight into biological events. The physics of SPR techniques relies on measurements to single transverse magnetic (TM) polarization [16]. Because of single measurement, it is unable to differentiate shifts in the bilayer thickness Δa and refractive index Δn . Thus, SPR is hardly capable of distinguishing between a thick sparse layer and a thin dense layer. This means that such technology is “blind” to the conformational change of bound molecules. To gain greater information on biological events taking place at these interfaces, two measurements are required. Moreover, researchers lay increasing stress on the concept of proteome, which can be broadly interpreted as proteins expressed by genome, resulting in an obvious requirement for a huge collaborative effort in the protein signature [17]. Given that TE and TM modes excited simultaneously, both Δa and Δn can be traced without ambiguity. Recently, a dual polarization interferometry (DPI) [18, 19], with its ability to monitor conformational change in molecules, has been deployed in the field of protein engineering. This commercially available approach has been demonstrated to provide both adlayer thickness a and refractive index n in the biological system, such as protein-protein interaction. However, this technique lacks multiplexing abilities and suffers from a large footprint, setting limits on the development and application as a biosensor [20].

In this work, we investigate a dual polarization hybrid plasmonic (DPHP) waveguide. Two orthogonal polarizations

*Corresponding author: Jinhai Si, Key Laboratory for Physical Electronics and Devices of the Ministry of Education and Shaanxi Key Lab of Information Photonic Technique, School of Electronics and Information Engineering, Xi'an Jiaotong University, Xi'an 710049, China, e-mail: jinhaisi@mail.xjtu.edu.cn

Chen Chen and Xun Hou: Key Laboratory for Physical Electronics and Devices of the Ministry of Education and Shaanxi Key Lab of Information Photonic Technique, School of Electronics and Information Engineering, Xi'an Jiaotong University, Xi'an 710049, China

of incident light are applied to the chip, in turn, exciting the fundamental quasi-TE mode and fundamental quasi-TM mode (hereafter, this text will be abbreviated as TE mode and TM mode), and the modes interrogate the bilayer by means of an evanescent tail [21, 22]. In this way, shifts in the adlayer thickness Δa and refractive index Δn_i can be determined independently. Moreover, nano-slots formed between a metal (Ag) cladding and a silicon core can provide a combination of plasmonic and photonic modes contributing to sub-wavelength confinement with high sensitivity as well as relative long propagation distance. We adopt the resonance wavelength shift to interpret the molecular event. In order to verify the novel architecture, we quantitatively analyze the carbohydrate-protein interaction in which biotinylated heparin is immobilized to the surface through the biotin-streptavidin system subsequently, interacting with HepV, a recombinant fragment of collagen V. Collagen V [23, 24] is a member of the fibril subclass of collagens, and it plays a crucial role in fibrillogenesis [23, 24]. This collagen controls the initiation of the collagen fibril assembly and acts as an adhesive substrate for a large variety of cells. Collagen V specifically interacts with a number of extracellular components through its 300-nm-long predominant triple helical domain, which exhibits different molecular forms in the tissue [24]. The major form in most tissues is the heterotrimer $[\alpha 1(V)]_2\alpha 2(V)$, which was previously discovered to interact with heparin depending on glycosaminoglycan chains at physiological salt concentrations [24]. Specifically, the heparin-binding region is located within a 12-kDa fragment in the triple helical part of the $\alpha 1(V)$ chain [24, 25]. The recombinant fragment (Ile824 to Pro950), referred to as HepV, is sufficient for heparin binding and functions as a cell adhesion through a cell surface heparan sulfate proteoglycan [24, 25].

Therefore, the binding of HepV to heparin can modulate the interaction between collagen V and heparin sulfate proteoglycan in the tissues. The D-biotin-streptavidin model, which has been intensely investigated for decades and is used in immunological protocol is utilized for immobilizing heparin on the surface owing to its high binding affinity with a dissociation constant of $K_d = 10^{-15}$ mol/dm³ [26]. The whole process of HepV-heparin interaction is simulated based on the finite element method (FEM) via the commercially available software, COMSOL Multiphysics (COMOSOL Inc., Stockholm, Sweden) with success.

2 Design of DPHP waveguide

2.1 Schematic of DPHP waveguide

Figure 1A presents the three-dimensional (3D) schematic structure of the proposed DPHP waveguide, consisting of a silicon-on-insulator (SOI) rib and a metal (Ag) cladding. The region between the Si core and the Ag layer is filled with a tested analyte. In this way, double nano-slots are formed at both sides of the Si core as well as another slot is embedded on the top of the Si core. The cross-section view with geometric parameters is presented in Figure 1B. The widths of the Si core and the lateral nano-slot are denoted as w_{si} and w_{slot} , respectively. The height of the Si ridge and the upper nano-slot are defined as h_{si} and h_{slot} , respectively. The optimized values of w_{slot} and h_{slot} are setting as 40 nm and 60 nm, respectively, giving rise to a strong field confinement and a low propagation loss. In Figure 1C, a field profile obtained by simulation reveals that the present

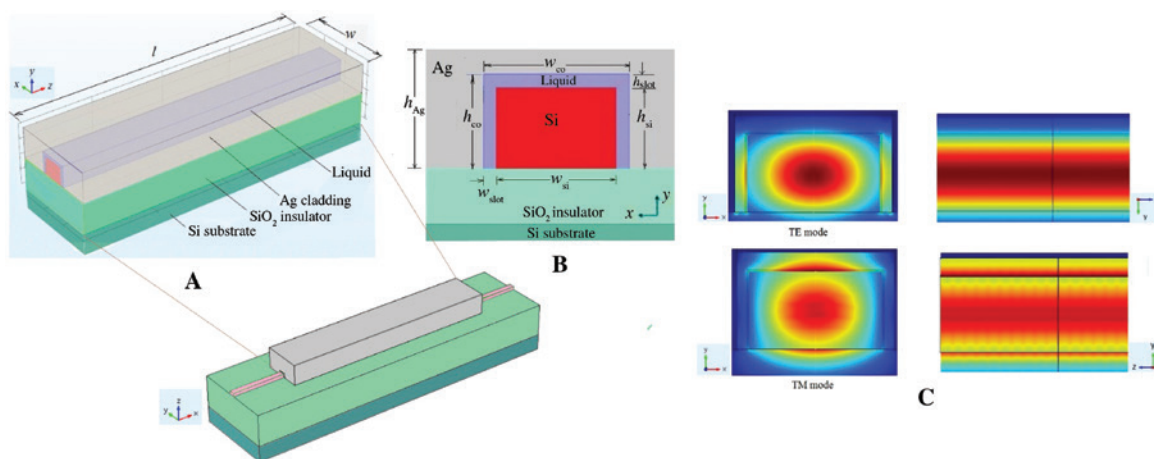


Figure 1: (A) Schematic of DPHP waveguide. (B) Cross-section view of the DPHP waveguide filled by test liquid. The values of the geometric parameters are $w_{\text{slot}} = 40$ nm, $h_{\text{slot}} = 60$ nm, $w_{\text{si}} = 550$ nm, $h_{\text{si}} = 320$ nm, $h_{\text{ag}} = 3$ μm , $h_{\text{sio2}} = 3$ μm , and $l = 25$ μm . (C) Field profile of the DPHP waveguide.

configuration can support both the TE and TM modes. The values of the geometric parameters were assigned: w_{si} equals 550 nm; h_{si} equals 320 nm; h_{sio2} (substrate) is 3 μm high, and the length of the waveguide (l) is 25 μm . The thickness of the Ag cladding is set at 3 μm so as to neglect the influence of the simulation boundaries. This structure is manipulated compatibly with the conventional SOI optical as well. In the simulation work, the refractive indices [27] of Si and SiO_2 are set as 3.5046 and 1.447, respectively, at a central wavelength of 1.3 μm . The wavelength selection [7] is based on a tradeoff between the absorption loss caused by the aqueous solution and the broadband light sources. The water solution optical absorption is smaller at a wavelength of 1.3 μm , compared with the 1.55- μm wavelength commonly used for operation. The permittivity of silver is achieved through the Drude model [28]:

$$\varepsilon = \varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + j\omega\gamma} \quad (1)$$

where $\varepsilon_{\infty} = 3.1$, $\omega_p = 140 \times 10^{14}$ rad/s, and $\gamma = 0.31 \times 10^{14}$ rad/s.

2.2 Theory of DPHP waveguide

For most waveguide systems, the evanescent wave decays exponentially with the decay length in the order of 0.1–1 μm [20]; thus, it only senses the changes taking place on the surface of the waveguide due to the higher intensity of the evanescent field in this particular region. Therefore, the shifts in the bulk solution is hardly considered, whereas the bulk index has an exact influence on the sensor response. With regard to the proposed structure, the incident light goes through the waveguide and excites the surface plasmon in the slots when the phase velocities of the optical mode and that of the surface plasmon match. The field is highly confined in the slots and sufficiently penetrates into the solution. As a result, the bulk refractive index can be measured. Evidently, the slots are able to store electromagnetic energy resulting in subwavelength optical guiding with lower propagation loss. The dielectric discontinuity at the Si core solution interface produces a polarization charge [29], which interacts with the plasma oscillations of the metal-solution interface. The gap region can be equated with an effective optical capacitance [29] resulting in a strong field confinement and a lower propagation loss. The propagation constant of the surface plasmon depends strongly on the wavelength, in comparison with the optical modes of the traditional dielectric waveguide. The phase-matching condition between an optical mode of the dielectric waveguide and a surface plasmon supported by metal (Ag)

cladding might be fulfilled within a narrow spectral band. Therefore, when a broadband light ($1.285 \mu\text{m} \leq \lambda \leq 1.315 \mu\text{m}$ in this case) is applied to the waveguide, the spectrum presents a narrow dip with optical energy conversion into the surface plasmon [30]. The position of the resonance dip in the output spectrum strongly relies on the refractive index of the sensed medium. Any changes in the sensed medium will alter the resonance condition, which results in a shift in the resonance dip. Thus, we can adopt wavelength interrogation to interpret the molecular interaction.

2.3 Carbohydrate-protein interactions based on DPHP waveguide

Herein, HepV-heparin interaction was emulated based on the FEM and carried out with COMSOL. Generally, the HepV-heparin binding event can be simplified into four distinct steps [25]: (1) Tris buffer flowing over the biotin-functionalized waveguide surface for initial calibration, (2) streptavidin immobilized on the surface, (3) the capture of biotinylated heparin, (4) introducing recombinant HepV fragment to recognize the biotinylated heparin. During the biological event, the thickness a and the refractive index n_l of the adlayer with a known numerical value were obtained from the typical literature data [25]. Besides, the bulk refractive indices, in this case, were calculated according to the empirical equation [25] expressed as $n_l = 0.52 \cdot (n_p - n_s) + n_s$, where n_p is the refractive index of pure protein (1.465) [31–33] or solvated carbohydrate (1.45) [34]. All the data, including n_p , n_s and a , are presented in Table 1. The effective mode indices ($n_{\text{eff_TE}}$ and $n_{\text{eff_TM}}$) were measured for a wavelength spanning $1.285 \mu\text{m} \leq \lambda \leq 1.315 \mu\text{m}$ at all stages. The fitting curves of the $n_{\text{eff_TE}}$ and $n_{\text{eff_TM}}$ versus the wavelength λ are plotted in Figure 2. One can see clearly the process of the HepV-heparin binding event in the whole spectrum range. The shifts in the resonance wavelength depending on the effective mode indices of the waveguide are significantly different. Thus, we can take the wavelength interrogation to interpret the molecular interaction.

Table 1: Observed layer characteristics [25] of thickness a and refractive index n_l during the whole process.

Material (observation after each step)	Thickness a (nm)	RI of layer n_l	RI of solution n_s
Tris buffer	0	0	1.366
Streptavidin	5.58	1.4037	1.3373
Streptavidin + biotinylated heparin	5.46	1.4077	1.3619
HepV binding to heparin	5.33	1.4073	1.3448

Calculated solution refractive index n_s in each step by the equation $n_l = 0.52 \cdot (n_p - n_s) + n_s$.

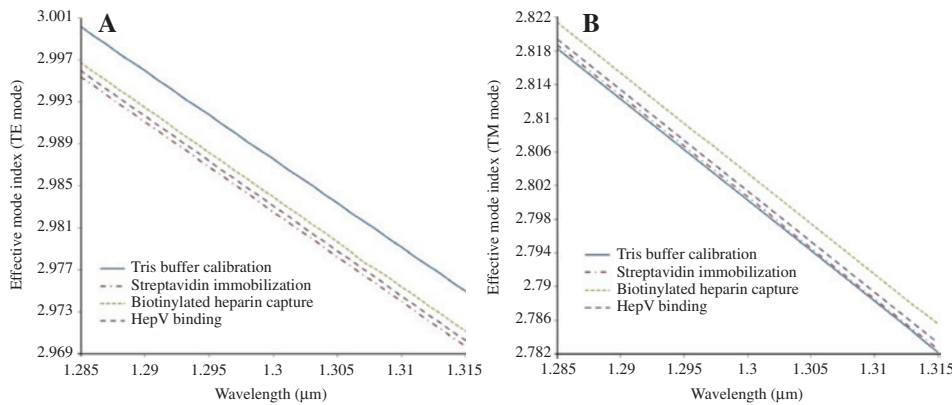


Figure 2: Simulation results of HepV-heparin binding event at a wavelength spanning $1.285 \mu\text{m} \leq \lambda \leq 1.315 \mu\text{m}$ for the TE mode (A) and the TM mode (B), respectively. A plot of wavelength versus effective mode index provides a representation of each step.

2.4 Property of DPHP waveguide

In general, sensitivity and propagation loss are considered as significant criteria to evaluate the performance of a biosensor. It is necessary to validate these two physical quantities of the proposed DPHP waveguide. The waveguide sensor sensitivities respond to (1) the changes in the bulk refractive index Δn_s , (2) the shifts in the biolayer index Δn_l , and (3) the adlayer thickness variation Δa . In the molecular interaction, all the effects occur, and consequently, the resulting effective mode index change is:

$$\Delta n_{\text{eff}_i} = \frac{\partial n_{\text{eff}_i}}{\partial n_s} \Delta n_s + \frac{\partial n_{\text{eff}_i}}{\partial n_l} \Delta n_l + \frac{\partial n_{\text{eff}_i}}{\partial a} \Delta a (i = \text{TE, TM}) \quad (2)$$

where $\frac{\partial n_{\text{eff}_i}}{\partial n_s}$, $\frac{\partial n_{\text{eff}_i}}{\partial n_l}$, and $\frac{\partial n_{\text{eff}_i}}{\partial a}$ represent, respectively, the shifts in the bulk index, the biolayer index, and the thickness contributing to the effective mode indices.

For the wavelength shift detection, we investigate the transmission spectrum on the resonance wavelength spanning $1.285 \mu\text{m} \leq \lambda \leq 1.315 \mu\text{m}$. The data are plotted in Figure 3. The position resonance dip is dependent on the effective mode index of the waveguide as well as on the polarization. With the process of the molecular event, the resonance wavelength for the TE mode moves to short-wave direction, while for the TM mode, the resonance wavelength shifts toward the longer wavelength. Various numerical values of the blue-shifted and red-shifted wavelengths arise from different influences of the effective mode index, which are also in agreement with the results in Figure 2. For TE mode, compared with the Tris buffer calibration, the resonance wavelength caused by streptavidin immobilization is blue shifted to 6 nm, while the resonance wavelengths induced by heparin capture and HepV binding are blue shifted to 2 nm and 4 nm, respectively. For the TM mode, a redshift of 8 nm with streptavidin immobilization and that of 12.5 nm and 10 nm with heparin capture and HepV binding, respectively, are presented.

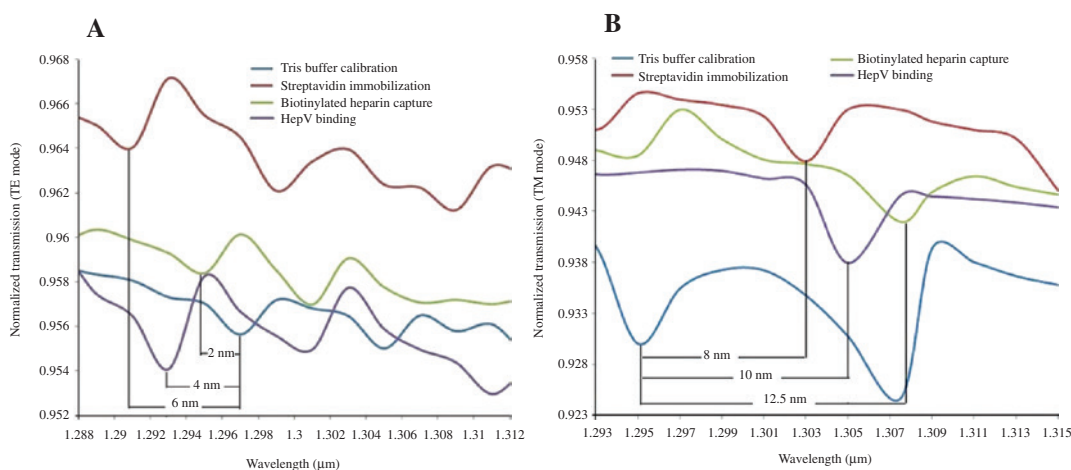


Figure 3: The dependence of resonance wavelength on transmissions during the whole process for both TE mode (A) and TM mode (B).

Afterward, the spectral interrogation sensitivities, caused by changes in bulk refractive index Δn_s for dual polarizations can be defined as follows:

$$S_{\text{DPHP_ns_i}} = \frac{\partial \lambda}{\partial n_s} = \frac{\partial \lambda}{\partial n_{\text{neff_i}}} \cdot \frac{\partial n_{\text{neff_i}}}{\partial n_s} (i = \text{TE, TM}) \quad (3)$$

where $\frac{\partial \lambda}{\partial n_{\text{neff_i}}}$ is the resonance wavelength shift caused by the effective mode index of the DPHP waveguide, which was measured at each step (Figure 2), and $\frac{\partial n_{\text{neff_i}}}{\partial n_s}$ represents the effects of the buffer solution on the effective mode index. Similarly, the spectral interrogation sensitivities, due to the adlayer index variation Δn_l can be interpreted as below:

$$S_{\text{DPHP_nl_i}} = \frac{\partial \lambda}{\partial n_l} = \frac{\partial \lambda}{\partial n_{\text{neff_i}}} \cdot \frac{\partial n_{\text{neff_i}}}{\partial n_l} (i = \text{TE, TM}) \quad (4)$$

where $\frac{\partial n_{\text{neff_i}}}{\partial n_l}$ is the change in the effective mode index resulting from the adlayer indices. Apart from Δn_s and Δn_l , the spectral interrogation sensitivities caused by the adlayer thickness can be expressed:

$$S_{\text{DPHP_a_i}} = \frac{\partial \lambda}{\partial a} = \frac{\partial \lambda}{\partial n_{\text{neff_i}}} \cdot \frac{\partial n_{\text{neff_i}}}{\partial a} (i = \text{TE, TM}) \quad (5)$$

where $\frac{\partial n_{\text{neff_i}}}{\partial a}$ represents the shift in the adlayer thickness contributing to the effective mode index of the waveguide. Therefore, the resonant wavelength shift in complete formula is:

$$\Delta \lambda_{\text{DPHP_i}} = S_{\text{DPHP_ns_i}} \Delta n_s + S_{\text{DPHP_nl_i}} \Delta n_l + S_{\text{DPHP_a_i}} \Delta a (i = \text{TE, TM}) \quad (6)$$

where $\Delta \lambda_{\text{DPHP_i}}$ is the shift in resonance wavelength, and Δn_s , Δn_l , and Δa are shifts in the bulk index, adlayer index, and thickness in each step (excluding step 1) compared with the calibration step.

In order to investigate the propagation loss for the hybrid plasmonic waveguide, the optical confinement factor Γ , defined previously [35], which was power confined in a particular area divided by the total power, was introduced. It has been shown [35] that Γ is not only influenced by the loss-less photonics mode but also by the lossy plasmonic mode. Thus, we can improve Γ_{layer} (power confined in the layer compared with total power) by tuning the geometrical properties of the structure to gain lower loss. The optimized size of the waveguide is set as

mentioned: $w_{\text{slot}} = 40 \text{ nm}$, $h_{\text{slot}} = 60 \text{ nm}$, $w_{\text{si}} = 550 \text{ nm}$, $h_{\text{si}} = 320 \text{ nm}$, $h_{\text{Ag}} = 3 \text{ }\mu\text{m}$, $h_{\text{SiO}_2} = 3 \text{ }\mu\text{m}$, and $l = 25 \text{ }\mu\text{m}$. To calculate the propagation loss, we derive the loss coefficient

α (in $\text{dB}/\mu\text{m}$) in the following equation: $\alpha = -\lg \frac{P_o}{P_i} / 2l$, with P_o equals the power outflow time average (w) and P_i equals the power inflow time average (w) and depicting the loss variation at a wavelength spanning from $1.285 \text{ }\mu\text{m} \leq \lambda \leq 1.315 \text{ }\mu\text{m}$ in Figure 4. The propagation loss was measured for the optimized dimension of the DPHP waveguide with water solution for simplicity. It is presented that the optimum value for the propagation loss achieves as low as the order of 10^{-4} .

2.5 Characterization of heparin-Hep V interaction

To extract the biolayer thickness and index, we rewrite equation (6) with fixed wavelengths at resonance dips ($\lambda = 1.291 \text{ }\mu\text{m}$, $\lambda = 1.295 \text{ }\mu\text{m}$, $\lambda = 1.293 \text{ }\mu\text{m}$ for the TE mode and $\lambda = 1.303 \text{ }\mu\text{m}$, $\lambda = 1.3075 \text{ }\mu\text{m}$, $\lambda = 1.305 \text{ }\mu\text{m}$ for the TM mode):

$$\begin{bmatrix} \Delta \lambda_{\text{DPHP_TE}} \\ \Delta \lambda_{\text{DPHP_TM}} \end{bmatrix} = \begin{bmatrix} \frac{\partial \lambda_{\text{TE}}}{\partial n_s} & \frac{\partial \lambda_{\text{TE}}}{\partial n_l} & \frac{\partial \lambda_{\text{TE}}}{\partial a} \\ \frac{\partial \lambda_{\text{TM}}}{\partial n_s} & \frac{\partial \lambda_{\text{TM}}}{\partial n_l} & \frac{\partial \lambda_{\text{TM}}}{\partial a} \end{bmatrix} \begin{bmatrix} \Delta n_s \\ \Delta n_l \\ \Delta a \end{bmatrix} \quad (7)$$

where $\Delta \lambda_{\text{DPHP_i}}$ ($i = \text{TE, TM}$) are shifts of resonance wavelengths at all stages compared with the Tris buffer

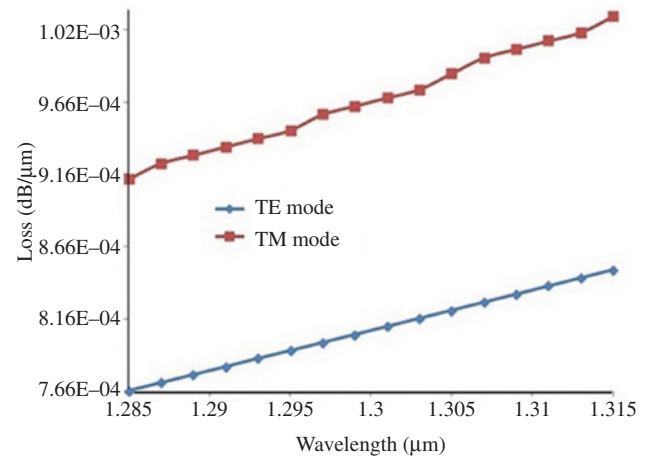


Figure 4: Dependence of propagation loss on the wavelength varying from $1.285 \text{ }\mu\text{m}$ to $1.315 \text{ }\mu\text{m}$ for the optimized waveguide dimension for dual polarization. The value for loss can reach as low as the order of 10^{-4} .

calibration, and the numerical values can be achieved via Figure 3.

The $\frac{\partial \lambda_{-i}}{\partial n_s}$, $\frac{\partial \lambda_{-i}}{\partial n_l}$, and $\frac{\partial \lambda_{-i}}{\partial a}$ ($i = \text{TE, TM}$) are wavelength shifts induced by the bulk index, adlayer index, and thickness, respectively. To calculate $\frac{\partial \lambda_{-i}}{\partial n_s}$, for example, we can divide the term into $\frac{\partial \lambda}{\partial n_{\text{eff}_i}}$, which can be obtained through Figure 2, and $\frac{\partial n_{\text{eff}_i}}{\partial n_s}$, which can be measured at resonance dips at each step (Figure 5) and subsequently doing the math. With the same method, $\frac{\partial \lambda_{-i}}{\partial n_l}$ and $\frac{\partial \lambda_{-i}}{\partial a}$ can be achieved when integrated with Figures 2, 6 and 7. For the relationship between

the bulk index and the adlayer index, in this case, $n_l = 0.52 * (n_p - n_s) + n_s$, and the expression simplifies to:

$$\begin{bmatrix} \Delta \lambda_{\text{DHP}_{\text{TE}}} \\ \Delta \lambda_{\text{DHP}_{\text{TM}}} \end{bmatrix} = \begin{bmatrix} \frac{\partial \lambda_{\text{TE}}}{\partial n_l} & \frac{\partial \lambda_{\text{TE}}}{\partial a} \\ \frac{\partial \lambda_{\text{TM}}}{\partial n_l} & \frac{\partial \lambda_{\text{TM}}}{\partial a} \end{bmatrix} \begin{bmatrix} \Delta n_l \\ \Delta a \end{bmatrix} \quad (8)$$

It is noteworthy that to simplify the numerical calculation, we substitute n_s with n_l via the empirical formula [25] $n_l = 0.52 * (n_p - n_s) + n_s$ and, thus, combine $\frac{\partial \lambda_{\text{TE}}}{\partial n_s}$ with $\frac{\partial \lambda_{\text{TE}}}{\partial n_l}$, and $\frac{\partial \lambda_{\text{TM}}}{\partial n_s}$ with $\frac{\partial \lambda_{\text{TM}}}{\partial n_l}$, which were subsequently denoted as $\frac{\partial \lambda_{\text{TE}}}{\partial n_l}$ and $\frac{\partial \lambda_{\text{TM}}}{\partial n_l}$ including both the effects of n_s and n_l . The calculated thickness

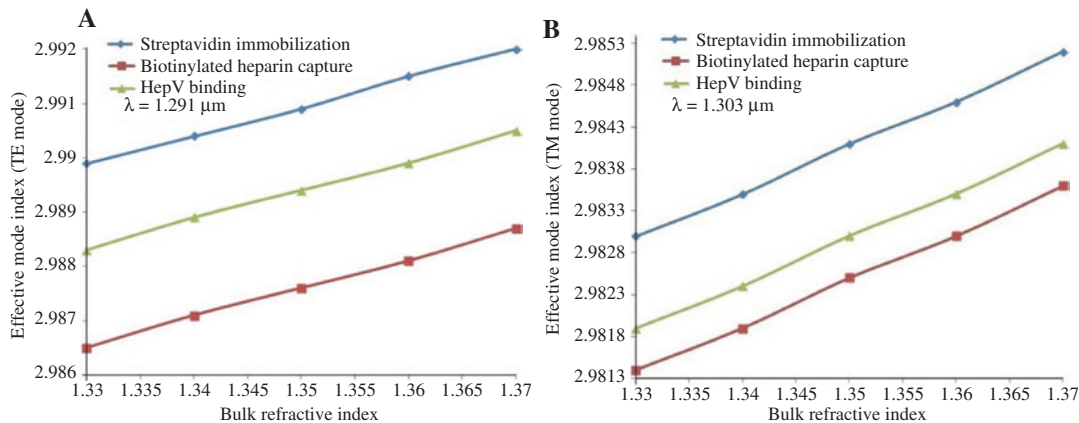


Figure 5: The variation of transmission arising from a different bulk refractive index ($1.33 \leq n_s \leq 1.37$ with step 0.01) in the molecular binding event. (A) $\lambda = 1.291 \mu\text{m}$ for the TE mode, (B) $\lambda = 1.303 \mu\text{m}$ for the TM mode.

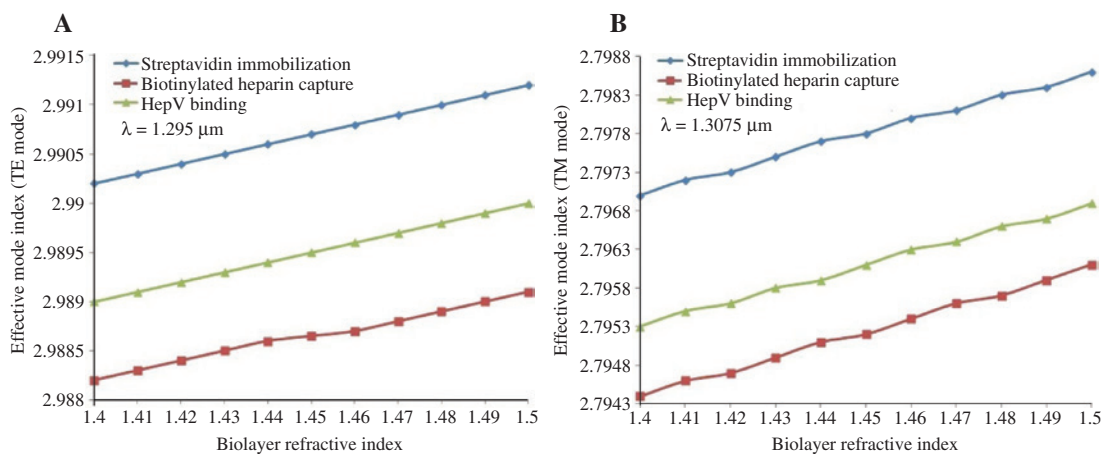


Figure 6: The variation of transmission caused by biolayer index changing ($1.4 \leq n_l \leq 1.41$ with step 0.01) during molecular interaction. (A) $\lambda = 1.295 \mu\text{m}$ for the TE mode, (B) $\lambda = 1.3075 \mu\text{m}$ for the TM mode.

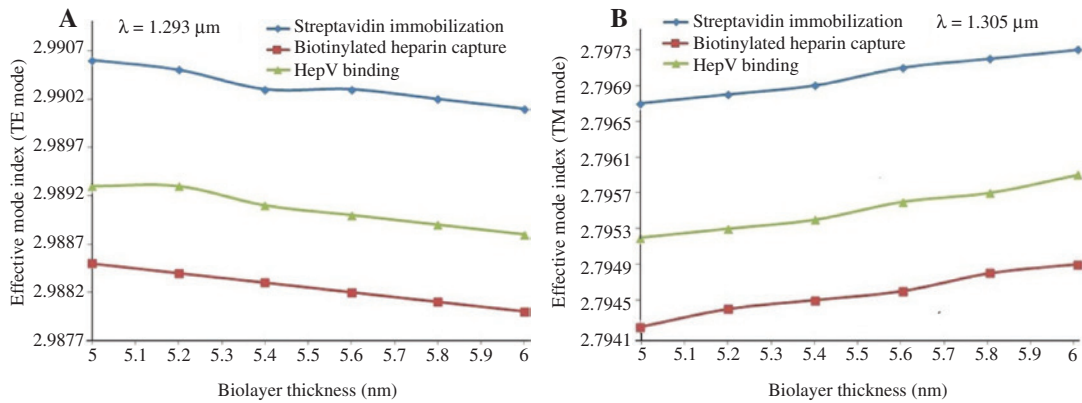


Figure 7: The variation of transmission induced by the shift in adlayer thickness ($5 \text{ nm} \leq a \leq 6 \text{ nm}$ with step 0.2 nm) during the molecular interaction. (A) $\lambda = 1.293 \mu\text{m}$ for the TE mode, (B) $\lambda = 1.305 \mu\text{m}$ for the TM mode.

a and the refractive index n_i via equation (8) share similar results compared with the numerical values measured by DPI [25].

Given the values of biolayer thickness and refractive index, the surface mass density during the binding event can be calculated through the De Feijter's formula [36]: $\rho_i = (n_i - n_s) / (dn/dc)$, where dn/dc is the refractive index increment (in $\text{cm}^3 \cdot \text{g}^{-1}$), which in the case of protein and carbohydrate are $0.186 \text{ cm}^3 \cdot \text{g}^{-1}$ and $0.142 \text{ cm}^3 \cdot \text{g}^{-1}$, respectively. The densities of the adlayer dedicated by streptavidin, biotinylated heparin, as well as HepV, are $0.357 \text{ g} \cdot \text{cm}^{-3}$, $0.322 \text{ g} \cdot \text{cm}^{-3}$, and $0.336 \text{ g} \cdot \text{cm}^{-3}$, respectively. Integrating with adlayer thickness and density, we can conclude that a thick sparse layer ($a = 5.46 \text{ nm}$, $\rho_i = 0.322 \text{ g} \cdot \text{cm}^{-3}$) is formed after heparin capture, and a thin dense layer ($a = 5.33 \text{ nm}$, $\rho_i = 0.336 \text{ g} \cdot \text{cm}^{-3}$) is formed as HepV is bound. This phenomenon can be explained by HepV undergoing conformational change on binding to heparin and a loss of streptavidin from the waveguide surface during HepV injection, thereby, a decrease in adlayer thickness and density, compared with that of streptavidin introduction.

2.6 DPHP waveguide fabrication

We have envisaged a CMOS-compatible fabrication process of the designed device. The process can start from a commercial SOI wafer. Alternatively the plasma-enhanced chemical vapor deposition (PECVD) technology is used to deposit the SiO_2 and $\alpha\text{-Si}$ thin film on the Si substrate. The photoresist thin film is formed on the Si film, and the waveguide patterns are constructed using an E-beam lithography so as to gain high resolution. Then, an extreme thin aluminum (Al) film, with a 40-nm thickness

on the sidewall and a 60-nm thickness on the top of the Si rib, was deposited by the sputtering process. This step could be followed by the deposition of a metal (Ag) layer with a 3- μm thickness on the sidewall and at the top of the Al film by the sputtering process. Afterward, the Al film is removed by selectively etching to form the vertical and horizontal slots.

3 Conclusion

We designed the DPHP waveguide and carried out a detailed investigation of the modal properties and the performance of the proposed device. The employment of the DPHP waveguide for protein analysis has been largely discussed. We take the wavelength shift detection to quantify the molecular interaction with success. The relationship between the biolayer property and the resonance wavelength was deduced as equation (8) in the text. The waveguide is capable of detecting shifts in the biolayer thickness and the refractive index without ambiguity, consequently distinguishing between a thick sparse layer and a thin dense layer. Associated with thickness and density of the adlayer, we can see HepV undergoing conformational change when the binding event occurs. In addition, the propagation loss for the optimized size of the waveguide can reach to as low value as the order of 10^{-4} . Last but not the least, the novel device could be realized by a simple and CMOS-compatible fabrication process. In summary, the novel structure proposed with the help of the numerical and simulation analysis lays a good basis for the follow up work.

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